

Europe

1648–1815

From the Old Regime to
the Age of Revolution

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The Problem of Divine-Right Monarchy



The Peace of Westphalia in 1648 ended the Thirty Years' War but also marked the end of an epoch in European history. It ended the Age of the Reformation and Counter-Reformation, when wars were both religious and dynastic in motivation, and the chief threats to a stable international balance came from the Catholic Habsburgs and from the militant Protestants of Germany, the Netherlands, and Scandinavia. After 1648 religion, although continuing to be a major source of friction in France and the British Isles, ceased to be a significant international issue elsewhere. In western Europe, most international conflicts centered on efforts to contain French expansion into surrounding territories. For seventy-two years (1643–1714) France was under a single monarch, Louis XIV, who inherited the throne when only four.

Louis was the embodiment of the early modern form of royal absolutism—monarchy by divine right—and he was the personification of royal pride, elegance, and luxury. To the French, Louis XIV was *le grand monarque*. His long reign brought to an end *le grand siècle*, that great century (begun under Cardinal Richelieu in the twenty years before Louis's accession) that was marked by the international triumph of French arms and French diplomacy and, still more, of French ways of writing, building, dressing, eating—the whole style of life of the upper classes in France, which called itself *la grande nation*.

While French culture went from triumph to triumph, Louis XIV's bid for political hegemony was ultimately checked. Among Louis's most resolute opponents during his last two wars was England. After playing a relatively minor role in European affairs for more than a century, England emerged as a first-rank power by the end of Louis's reign. England's success abroad was partly the result of its success in settling domestic conflicts that resulted from the collision between the forces of the Stuart monarchy and High Church Anglicanism, on the one hand, and those of Parliament and the Puritans, on the other. The final settlement, after decades of violence and change, was a compromise weighted in favor of the parliamentary side, with one English

king executed and another forced into exile. While France appeared stable, England was racked by revolution and insecurity.

The stabilization of England and France resulted from efforts to resolve a broad range of problems that in varying ways and to varying degrees swept the Continent. Religious dissent, civil conflicts, declining economic growth, and social dislocations caused by war were among the most common sources of difficulty. One answer to these disturbing tendencies was the growth of the national state. Although they reached distinctively different constitutional settlements, the national states of France and England, like those of other countries, managed to impose more law and order at the end of the seventeenth century than they had at the beginning. Feeding off expanded government revenues and heavier government borrowing, larger and more disciplined armies pacified the countryside and cities more effectively. The state offered pensions, privileges, honors, and government jobs, most notably in the expanded military, to social elites in order to discourage aristocratic rebellions. The seventeenth century may as rightly be considered the age of aristocracy as it can the age of kings.

Culture in seventeenth-century Europe was also slowly transformed. These changes occurred partly in response to the needs and interests of the state and of the upper classes, who sought to strengthen their privileged status by adopting lifestyles and intellectual pursuits that marked them off from the rest of the population, most of it still illiterate. Royal courts, especially the French court, elaborated new codes of aristocratic etiquette and provided showcases for the arts. The state also patronized the new science through the establishment of major scientific academies. Many of the seventeenth century's greatest thinkers—Bacon, Descartes, Newton, Leibniz—worked for Europe's princes and kings. Whatever the disadvantages of this state sponsorship, historians have found this period so rich in intellectual creativity that they have called it the "century of genius."

Bourbon France

In 1610 the capable and popular Henry IV was assassinated in the prime of his career by a madman who was believed at the time to be working for the Jesuits—a charge for which there is no proof. The new king, Louis XIII (r. 1610–1643), was nine years old; the queen mother, Marie de Medici, served as regent but showed little political skill. Her Italian favorites and French nobles, Catholic and Huguenot alike, carried on a hectic competition that threatened to undo all that Henry IV had accomplished. During these troubles the French representative body, the Estates General, met in 1614 for what was destined to be its last session until 1789. Significantly, the meeting was paralyzed by tensions between the noble deputies of the second estate and the bourgeois of the third. Meanwhile, Louis XIII, although barely into his teens, tried to assert his personal authority and reduce the role of his mother. Poorly educated, sickly, masochistic, and subject to depression, Louis needed expert help.

Louis XIII and Richelieu, 1610–1643

Louis was fortunate in securing the assistance of the remarkably talented duc de Richelieu (1585–1642), who was an efficient administrator as bishop of the remote diocese of Autun. Tiring of provincial life, Richelieu moved to Paris and showed unscrupulous skill in political maneuvering during the confused days of the regency. He emerged as the conciliator between the king and his mother and was rewarded, first, by being made a cardinal and then, in 1624, with selection by Louis as his chief minister. While the king maintained a lively interest in affairs of state, Richelieu was the virtual ruler of France for the next eighteen years. Although ruthless enough to be a Machiavellian, Richelieu firmly believed his policies were in accordance with his Christian faith.

Richelieu had four goals for the France of Louis XIII: to eliminate the Huguenots as an effective political force; to remind the nobles that they were subordinate to the king; to make all of France conscious of a sense of national greatness; and, through these measures, to make the monarchy truly rather than only theoretically absolute. *Raison d'état* made the ruin of the Huguenots the first priority, for the political privileges they had received by the Edict of Nantes made them a major obstacle to the creation of a centralized state. The hundred fortified towns they governed, chiefly in the southwest, were a state within the state, a hundred centers of potential rebellion. Alarmed, the Huguenots rebelled. The fall of La Rochelle, their chief stronghold, in 1628 and Richelieu's unexpectedly humane approach—by which the political and military clauses of the Edict of Nantes were revoked while partial religious toleration continued—helped Richelieu neutralize the Huguenots.

The siege of La Rochelle was prolonged because France had no navy worthy of the name. Over the next ten years Richelieu created a fleet of warships for the Atlantic and a squadron of galleys manned by European slaves for the Mediterranean. Meanwhile, he guided France expertly through the Thirty Years' War, committing French resources only when concrete gains seemed possible and ensuring favorable publicity by supplying exaggerated accounts of French victories to the *Gazette de France*.

Next Richelieu tried to humble the nobles, with only partial success, by ordering the destruction of some of their fortresses and forbidding private duels. More effective was his use of royal officials called "intendants," who neither inherited nor bought their offices, to keep in check nobles and officeholders of doubtful loyalty. These officials had existed earlier but had performed only minor functions; now they were given greatly increased powers over justice, the police, and taxation.

Richelieu made possible *la grande nation* of Louis XIV by building a centralized state. But this state was hardly "bureaucratic" in the modern sense, since the vast majority of state officers bought their offices from the state, which thereby acquired badly needed revenue and a certain amount of loyalty. The disadvantage of this system of "venality" to the state was that ministers could not impose quality controls on most of their personnel, nor could they fire incompetent officials without paying these officials back for their

offices—a luxury the state could almost never afford. Moreover, Richelieu did little to remedy the chronic fiscal weakness of the government, particularly the corruption in tax collection and the recurrent deficits. His concentration on *raison d'état* led him to take a callous view of the subjects on whose loyal performance of their duties the strength of the state depended. He believed that the masses were best kept docile through hard work, that leisure led to mischief, and that the common people ought to take pride in the splendors of the monarchy, in the accomplishments of French literary culture, and in victories over the monarch's enemies. Individual hardship, especially among the lower classes, was to be accepted in the interests of national glory. Such acceptance is a common ingredient of nationalism.

Mazarin

The deaths of Richelieu in 1642 and Louis XIII in 1643, the accession of another child king, and the regency of the hated queen mother, Anne of Austria (actually a Habsburg from Spain, where the dynasty was called the house of Austria), all seemed to threaten a repetition of the crisis that had followed the death of Henry IV. The new crisis was dealt with by the new chief minister, Jules Mazarin (1602–1661), a Sicilian who had been picked and schooled by Richelieu himself and was exceptionally close to Anne. Mazarin, too, was a cardinal (although not a priest, as Richelieu had been) and a supreme exponent of *raison d'état*. Mazarin also was careless about the finances of France, and like Richelieu, he amassed an immense personal fortune during his career. He antagonized both branches of the French aristocracy: the nobles of the sword, descendants of feudal magnates, and the nobles of the robe (the reference is to the gowns worn by judges and other officials), descendants of commoners who had bought their way into government office. The former resented being excluded from the regency by a foreigner; the latter, who had invested heavily in government securities, particularly disliked Mazarin's casual way of borrowing money to meet war expenses and then neglecting to pay the interest owed to the state office-holders.

In 1648 discontent boiled over in the *Fronde* (named for the slingshot used by Parisian children to hurl pellets at the rich in their carriages), one of several mid-century uprisings in Europe. Some of the rioting involved the rural peasantry and the common people of Paris, impoverished by the economic depression accompanying the final campaigns of the Thirty Years' War and deeply affected by the peak famine years of 1648–1651. But the Fronde was essentially a revolt of the nobles, led first by the judges of the Parlement of Paris, a stronghold of the nobles of the robe, and then, after the Peace of Westphalia, by aristocratic officers returned from the Thirty Years' War. Various "princes of the blood" (relatives of the royal family) confusingly intervened with private armies. Although Mazarin twice had to flee France and go into exile, and although the royal troops had to lay siege to Paris, and despite concessions Mazarin felt forced to make, the end result of what was in reality two revolts in one—of the Parlement and of the nobles—was to weaken both. The

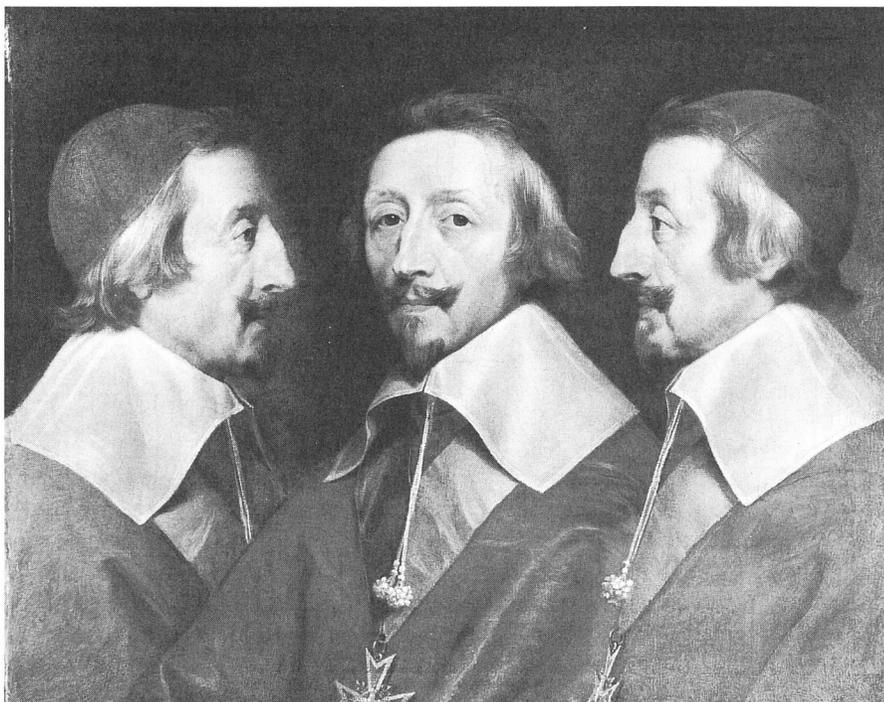


This illustration from Abraham Bosse's "Le Palais Royal" (1640) provides a good picture of French fashions and tastes. Bosse (1602–1676) took a particular interest in etchings that showed how the upper middle class dressed. Furniture also evolved in new styles to accommodate the new clothing. (New York Public Library Picture Collection)

Fronde prepared the way for the personal rule of Louis XIV, with the mass of ordinary citizens in Paris supporting the queen and her son when they returned in triumph in October 1652. Essentially, the Fronde failed because it had no real roots in the countryside, not even in the rising middle classes of the provincial cities. Rather, it was essentially a struggle for power, pitting Mazarin and his new bureaucracy against the two privileged groups of nobles, each of which distrusted the other. All Mazarin had to do was to apply the old Roman maxim, "Divide and rule."

Louis XIV, 1643–1714

When Mazarin died in 1661, Louis XIV began his personal rule. He had been badly frightened during the Fronde when rioters had broken into his bedroom, and he was determined to suppress any challenge to his authority, by persuasion and guile if possible, and by force if necessary. In 1660 he married a Spanish princess for political reasons. After a succession of mistresses, he married one of them in 1685, Madame de Maintenon, a devout former



Few official portraits from this period have survived. The only ones fully authenticated are those of Louis XIII and Richelieu. Philippe de Champaigne (1602–1674) often depicted Richelieu, and in this triple portrait he sought to emphasize the rationalism of the age. (National Gallery, London)

Huguenot who was the governess of his illegitimate children. She did much to assure dignified piety at court for the rest of his reign.

Louis XIV, the Sun King, succeeded as *le grand monarque* because by education, temperament, and physique he was ideally suited to the role. He had admirable self-discipline, patience, and staying power. He never lost his temper in public and went through long daily council meetings and elaborate ceremonials with unwearied attention and even enjoyment, to which his conspicuous lack of a sense of humor may have contributed. He had an iron physical constitution, which enabled him to withstand a rigorous schedule, made him indifferent to heat and to cold, and allowed him to survive both a lifetime of gross overeating and the crude medical treatment of the day.

He was five feet five inches tall (a fairly impressive height for that day) and added to his stature by shoes with high red heels. Even as a youth he was determined to “be perfect in all things” and to fail at nothing. To provide a suitable setting for the Sun King, to neutralize the high nobility politically by isolating it in the ceaseless ceremonies and petty intrigues of court life, and also to prevent a repetition of the rioters’ intrusion into his bedroom in Paris,

he moved the capital from Paris to Versailles, a dozen miles away. There, between 1668 and 1711, he built a vast palace more than a third of a mile long, set in an immense formal garden that demonstrated control over nature, a garden with fourteen hundred fountains supplied by water that had to be pumped up from the River Seine at great expense. Versailles housed, mainly in cramped, uncomfortable, and unsanitary quarters, a court of ten thousand, including dependents and servants of all sorts. This was self-conscious government by spectacle, and it would be copied by every monarch who could afford it—and some who could not.

Divine-Right Monarchy

How does monarchy

The much admired and imitated French state, of which Versailles was the symbol and Louis XIV the embodiment, is also the best historical example of divine-right monarchy. Perhaps Louis never actually said, "L'état c'est moi" ("I am the state"), but the phrase clearly summarizes his convictions about his role. In theory, Louis was the representative of God on earth—or at least in France. He was not elected by the French, nor did he acquire his throne by force of arms; rather, he was born to a position God had planned for the legitimate male heir of Hugh Capet, who had been king of France in the tenth century. As God's agent his word was final, for to challenge it would be to challenge the structure of God's universe; disobedience was a religious as well as a political offense. Thus the origins of divine right were a logical extension of Gallicanism, which sought to limit papal intervention in the French church to purely doctrinal matters.

In some ways the theory that justified divine-right monarchy looked back to the Middle Ages, to the view that right decisions in government are not arrived at by experiment and discussion but by "finding" the authoritative answer provided for in God's scheme of things. In other ways the theory was "modern" or forward looking, in that it derived from expectations about national loyalties and the growth of a sense of nationalism. Henry IV, Richelieu, and Louis XIV sought to fuse all of the inhabitants of France into a single national unit. But nationalism in this period was primarily an affair of elites, whose opinion alone counted in the affairs of state. Thus although the court and the French Academy produced a refined French to replace the hodgepodge of local dialectics spoken by the nobility, there is no evidence that Louis XIV cared which language his peasants spoke. Still, the king's ministers did attempt to set the king up as the symbol of common Frenchness. The king collected taxes, raised armies, and touched the lives of his subjects in a hundred ways. The French had to believe that the king had a right to do all this, and that he was doing it for them rather than to them.

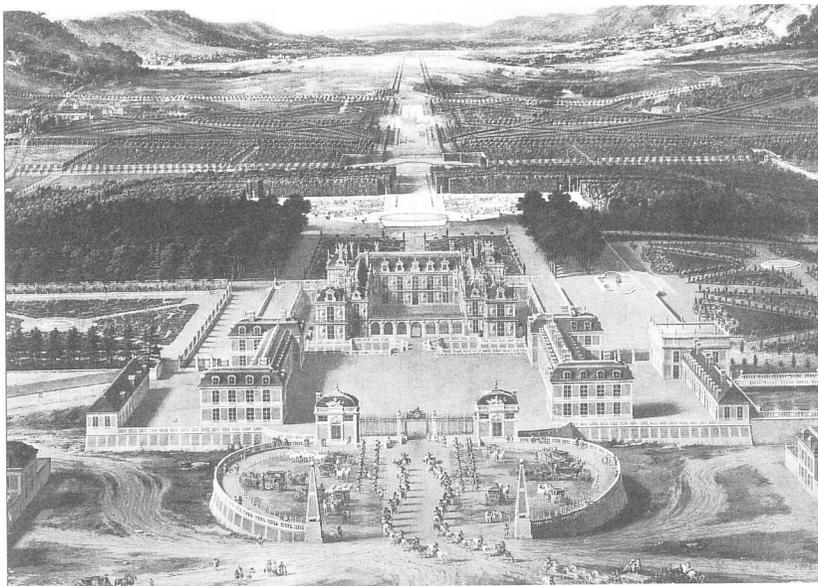
Divine-right monarchy, with its corollary of unquestioning obedience on the part of subjects, was thus one ingredient in the growth of the modern centralized nation-state. It was an institution that appealed to old theological ideas, such as the biblical admonition to obey the powers that be, for "the powers that be are ordained of God." But it was also inspired by the newer

ideas of binding people together in a productive, efficient, and secure state. Naturally, in practice the institution did not wholly correspond to theories about it. Louis XIV was not the French state, and his rule was not absolute in any true sense of that word. He simply did not have the physical means to control in detail everything his subjects did; but his policies could touch their daily lives by bringing relative prosperity or hardship, peace or war. And Louis XIV could endeavor, in the majesty of his person, to act out the theories of those, like Bishop Jacques Bossuet (1627–1704), who provided the intellectual foundations for a universal history that justified divine-right arguments.

Increasingly, the chief opposition to such ideas came not from the various faiths but from the nobles, so that in both France and England the seventeenth century brought a crisis to the aristocracy. The degree to which the nobility was integrated into the new state machinery was of crucial importance in the development of modern Europe. In Habsburg Spain and in the Habsburg lands of central Europe, the old nobility generally accepted the new strength of the Crown but maintained many of their privileges and all of their old pride of status. In Prussia they were more successfully integrated into the new order, becoming servants of the Crown, yet with a social status that set them well above bourgeois bureaucrats. In England the nobility achieved a unique compromise with the Crown. In France the nobles of the sword were deprived of most major political functions, but they were allowed to retain social and economic privileges and important roles as officers in the king's army.

The process of reducing the power of the old French nobility in national political life had begun as early as the twelfth century and had been much hastened by the religious and civil wars of the sixteenth century. An important part of the nobility, perhaps nearly half, had become Protestant, in large part from sheer opposition to the Crown, during the late sixteenth century, although many soon reconverted to Catholicism. Under Richelieu and Louis XIV the process was completed by the increasing use of commoners to run the government, from the great ministers of state, through the intendants, down to local administrators and judges. These commoners were usually elevated to the nobility of the robe, which did not at first have the social prestige of the nobility of the sword. But the Fronde had shown that these new nobles could not be counted upon as loyal supporters of the Crown, and among the old nobles they aroused contemptuous envy. Although at times the nobles were able to work together, they posed no serious threat to the Crown under Louis XIV.

Nor did the church. Under Louis XIV the French clergy continued to possess important privileges; they were not subject to royal taxation; they contributed a voluntary grant of money that they voted in their own assembly. Carefully the Crown fostered the evolution of a national Gallican church, firmly Catholic although controlled by the monarchy. The Gallican union of throne and altar reached a high point in 1682, when an assembly of French clerics drew up the Declaration of Gallican Liberties, asserting in effect that the "rules and customs admitted by France and the Gallican church" were



The Palace of Versailles, outside Paris, grew to immense proportions. Built for Louis XIV, the Sun King, construction took forty-three years, from 1668 to 1711. The central portion was the work of Louis LeVau (1612–1670), and, later, the gardens were laid out by André Le Nôtre (1613–1700). This early picture from the museum at Versailles shows the original chateau in its more modest 1668 dimensions. (Réunion des Musées Nationaux/Art Resource, NY)

just as important as the traditional authority of the papacy. Louis XIV thereupon took as the goal of his religious policy the application of a French motto—*un roi, une loi, une foi* (one king, one law, one faith).

Where Richelieu had attacked only the political privileges of the Huguenots, Louis attacked their fundamental right of toleration and finally revoked the Edict of Nantes in 1685. Fifty thousand Huguenot families fled abroad, notably to Prussia, Holland, the Dutch colony in southern Africa, England, and British North America. The practical skills and the intellectual abilities of the refugees strengthened the lands that received them, and the departure of industrious workers and thousands of veteran sailors, soldiers, and officers weakened France. Some Huguenots remained in France, worshiping secretly despite persecution. Others fled abroad to Protestant countries, where they contributed to a flow of propaganda leveled against Louis XIV.

Within the Catholic church itself, Louis attempted to repress two movements of which he disapproved. Both groups saw themselves as countering the Counter-Reformation while remaining within the Catholic church. The Quietists, a group of religious enthusiasts led by Madame Jeanne Marie Guyon (1648–1717), sought a more mystical and emotional faith and believed



Louis XIV was sixty-three and at the height of his power when Hyacinthe Rigaud (1659–1743) painted this strikingly posed portrait. In the background Rigaud has invoked memories of another great empire, Rome, while showing Louis's strength and sense of elegance in the flowing robe, the great ceremonial sword of office, and the coiffed wig. This portrait hangs in the Louvre in Paris. (Réunion des Musées Nationaux/Art Resource, NY)

in direct inspiration from God and perfect union with him, so that a priesthood was not needed; but their tendency to exhibitionism and self-righteousness, and their zeal for publicity, belied their name and offended the king's sense of propriety. The Jansenists, sometimes called the Puritans of the Catholic church, were a high-minded group whose most distinguished spokesman was the scientist and philosopher Blaise Pascal (1622–1662). Named for Cornelius Jansen (1585–1638), bishop of Ypres, the Jansenists took an almost Calvinistic stand on predestination. They stressed the need to obey God rather than man, no matter how exalted the position of the particular man might be. They therefore questioned the authority of both king and pope

and attacked the pope's agents, the Jesuits. At the end of his reign, Louis responded to Jansenism with ever-increasing fury. In 1709, he razed a Jansenist stronghold at Port-Royal, and in 1713 he elicited from Rome the bull *Unigenitus*, which condemned Jansenist principles allegedly contained in a theological treatise. Of all the poisoned legacies Louis left behind him, *Unigenitus* was perhaps the most deadly. For the next half-century, political controversy over *Unigenitus* would erupt again and again. Jansenist resistance to its imposition by the monarchy would eventually corrode the very foundations of the French monarchy.

The Royal Administration

Of course, in a land as large and complex as France, even the tireless Louis could do no more than exercise general supervision. At Versailles he had three long conferences weekly with his ministers, who headed departments of war, finance, foreign affairs, and the interior. The king kept this top administrative level on an intimate scale; he usually had only four ministers at one time and gave them virtually permanent tenure. Jean Colbert (1619–1683) served as controller general for eighteen years; Michel Le Tellier (1603–1685) was secretary of state for the army for thirty-four years, a post later entrusted to his son, who had been ennobled as the marquis de Louvois (1639–1691). All told, only sixteen ministers held office during the fifty-four years of Louis's personal reign. Yet in practice the royal administration was full of difficulties and contradictions. There were many conflicting jurisdictions, survivals of feudalism. The thirty key provincial administrators, the intendants, were agents of the Crown, but many of them exercised considerable initiative on their own, despite being moved about from one administrative unit to another.

A particularly important potential for trouble existed in the parlements, the supreme courts of appeal in the various provinces. The Parlement of Paris enjoyed special prestige and power from its place in the capital and from the size of its territorial jurisdiction—almost half of France. The judges who staffed these courts headed the nobility of the robe, owned their offices, and could not be removed by the king. Besides the usual work of a court of appeals, the parlements also had to register royal edicts before they went into force. They thus claimed the right to refuse an edict if they thought it not in accord with the higher law of the land. Although this claim negated theoretical royal absolutism, Louis got around it in his own lifetime by using another old institution, the *lit de justice* (literally, "bed of justice"), in which he summoned the Parlement of Paris before him in a formal session and ordered the justices to register a royal edict. In this way, for instance, he enforced measures against Jansenism, which was strong among the judges. But the parlements were also to continue to plague his eighteenth-century successors.

Mercantilism and Colbert. Divine-right monarchy was not peculiarly French, of course, nor was the mercantilism practiced by the France of Louis

XIV. But like divine-right rule, mercantilism flourished most characteristically under the Sun King. Mercantilism was central to the early modern effort to construct strong, efficient political units. The mercantilists aimed to make their nation as self-sustaining as possible, as independent as possible of the need to import goods from other nations, which were its rivals and potential enemies. The mercantilists held that production within a nation should provide all the necessities of life for a hard-working population and also provide the power needed to fight and win wars. They believed that these goals required planning and control from above, including control of the guilds. But they did not believe, as free-trade economists would later argue, that people should be free to do whatever they thought would enrich themselves. Instead, the mercantilists would channel the national economic effort by protective tariffs, by government subsidies, by grants of monopolies, by industries run directly by the government, and by scientific and applied research.

The mercantilists viewed overseas possessions as a particularly important part of France, which should be run from the homeland by a strong government. Many foodstuffs and raw materials were more easily available overseas than in Europe. Colonies therefore should be encouraged to provide necessities, so that the mother country need not import them from competitors. In return, the mother country would supply industrial goods to the colonies and have a monopoly over colonial trade. This mercantilistic approach to colonies was followed not only by France and Spain but by the less absolutist governments of England and Holland.

The great French practitioner of mercantilism was Colbert, who had served his apprenticeship under Mazarin and advanced rapidly to become controller general early in the personal reign of Louis. He never quite attained the supremacy reached by Richelieu and Mazarin; he was the collaborator, never the master, of Louis XIV, since other great ministers, especially Louvois for military affairs, stood in the way of his supremacy. Yet Colbert was influential in all matters affecting the French economy, most interested in foreign trade and in the colonies and therefore in the merchant marine and in the navy. His hand was in everything: in invention, in technological education, in designing and building ships, in attracting foreign experts to settle in France.

Among the industries Colbert fostered were the processing of sugar, chocolate, and tobacco from the colonies; the production of military goods by iron foundries and textile mills; and the manufacture of the luxuries for which the French soon became famous. The fifteenth-century Gobelins tapestry enterprise in Paris was taken over by the state and its output expanded to include elegant furniture, for which the king was a major customer. Glassblowers and lace makers were lured away from Venice, despite strenuous efforts by the Venetian republic to keep their valuable techniques secret. In a blow against French competitors, Colbert imposed heavy tariffs on some Dutch and English products. To promote trade with the colonies and also with the Baltic and the Mediterranean, he financed trading companies, of which only the French India Company eventually succeeded.

At home, Colbert encouraged reforestation, so that iron foundries could have abundant supplies of charcoal (then essential for smelting); he also promoted the planting of mulberry trees to nourish the silkworms vital to textile output. He even attempted—vainly, as it turned out—to control quality by ordering that defective goods be prominently exhibited in public, along with the name of the offending producer, and that the culprit be exhibited for a third offense. He also endeavored, again for the most part in vain, to break down the barriers to internal free trade, such as provincial and municipal tariffs or local restrictions on the shipment of grain to other parts of France. He did, however, successfully sponsor the construction of important roads and canals—the Canal du Midi, linking the Atlantic port of Bordeaux with the Mediterranean port of Narbonne, reduced transport charges between the two seas by three-fourths and was described as the greatest engineering feat since Roman days.

It is not clear how much Colbert's policies helped or hindered the growth of the French economy. The later seventeenth century was not an age of great economic expansion generally in Europe, nor was it a period of economic boom in France. English economic growth, partly stimulated by relatively large increases of population, was markedly greater. England introduced new methods of power machinery and concentrated on large-scale production of inexpensive goods, while France clung to the policies set by Colbert, favoring relatively small-scale production of luxuries and other consumer goods. But the difference between French and English industry was also a difference in the focus of national energies; while for the time, England focused inward, France, like Spain before it, spent an exceptional proportion of its national product on war.

French Expansion

France was the real victor in the Thirty Years' War, acquiring lands on its northeastern frontier. In a postscript to the main conflict, it continued fighting with Spain until the Treaty of the Pyrenees in 1659, securing additional territories. Prospering economically, France was ready for further expansion when the young and ambitious Louis XIV began his personal rule in 1661. Louis hoped to complete the gains of 1648 and 1659 and secure France's frontiers along the Rhine and the Alps. As his sense of confidence grew, he waged a mercantilist war against France's major economic competitors, Holland and England. Certainly, Louis delighted in exerting French pressure in foreign affairs, and he was perfectly willing to wreak military terror on his enemies. He frequently had himself praised for his ability to make his enemies tremble, and he so gloried in his violent conquests that he commissioned and prominently displayed many works of art celebrating them. Yet it is important not to misconstrue these bellicose tendencies. However much it may offend modern sensibilities, gaining glory through conquest was more respectable in Louis's age, and if other kings did not match Louis in this regard, it was only because they lacked his resources. There is no evidence that Louis consciously

sought to build a “universal monarchy” in Europe, as his many enemies alleged. And while he was fascinated by and instinctively drawn to war, Louis also sought to spread French influence abroad by nonmilitary means—chiefly through the spread of French language and culture.

Louis XIV and his talented experts fashioned splendid instruments to support this aggressive foreign policy. In 1661 half a dozen men made up the whole ministry of foreign affairs; half a century later it had a large staff of clerks, archivists, coders (and decoders) of secret messages, secret agents, and great lords and prelates who lent their dignity to important embassies. The growth of the French army was still more impressive, from a peacetime force of twenty thousand to a wartime one almost twenty times larger. Louis and his lieutenants almost revolutionized the character of France’s fighting forces. At the ministry of war the father and son team of Le Tellier and Louvois grouped regiments in brigades under a general to bring them under closer control. They also introduced two new ranks of officer, major and lieutenant colonel, to give more opportunity to talented commoners; these new commissions were awarded only for merit and were not available for purchase, like the ranks of colonel or captain. Supplies were more abundant, pay was more regular, and an effort was made to weed out the lazy. The inspector general of infantry, Jean Martinet (d. 1672), was so rigorous in drilling and discipline that his name added a word to the modern vocabulary. The armies showed particular strength in artillery, engineering, and siege techniques, all important in the days when armies moved ponderously and did much fighting in the waterlogged Low Countries. The French boasted an engineer of genius, Marshal de Vauban (1633–1707), of whom it was said that a town he besieged was indefensible and a town he defended was impregnable. And although military medical services remained crude and sketchy, a large veterans’ hospital, the Hôtel des Invalides, was built in Paris.

The First Two Wars of Louis XIV. The main thrust of this vast effort was northeast, toward the Low Countries and Germany. Louis XIV sought also to secure Spain as a French satellite with a French ruler. Finally, French commitments overseas in North America and in India drove him to attempt, against English and Dutch rivals, to establish a great French empire outside Europe.

The first war of Louis XIV was a minor one, with Spain, and it ended quickly with the peace of Aix-la-Chapelle in 1668. Furious at the Dutch because of their economic ascendancy, their Calvinism, and their republicanism, Louis resolved to teach them a lesson for entering into an alliance with England and Sweden against him. He bought off Sweden and England, and in 1672 French forces invaded Holland. The terrified Dutch turned to the youthful William III of Orange (1650–1702), great-grandson of the martyred hero of Dutch independence, William the Silent. But the French advance was halted only by the extreme measure of opening the dikes.

Thereupon, Spain, the Holy Roman Empire, and Brandenburg-Prussia joined against France and her allies. French diplomacy separated this ineffective coalition at the six treaties of Nijmegen (Nimwegen) in 1678–1679.

Holland was left intact at the cost of promising to remain neutral, and the French gave up Colbert's tariff on Dutch goods; Spain ceded to France the Franche Comté (Free Country of Burgundy), part of the Habsburgs' Burgundian inheritance, plus some towns in Belgium; Prussia, which had defeated Louis's ally, Sweden, at Fehrbellin (1675), was nonetheless obliged by French pressure to return Swedish lands in Germany. The power and prestige of France were now at their peak, as rulers all over Europe, and in particular the host of minor German princes, tried to copy the standards of Versailles.

The Last Two Wars. But in the last three decades of Louis's reign most of his assets were consumed. Not content with the prestige he had won in his first two wars, Louis took on most of the Western world in what looked like an effort to destroy the independence of Holland and most of western Germany and to bring the Iberian peninsula under a French ruler. As a prelude to new military aggression special courts, "chambers of reunion," were set up by the French in the early 1680s to tidy up the loose ends of the peace settlements of the past generation. And there were loose ends aplenty on the northern and eastern frontiers of France, a zone of political fragmentation and confused feudal remnants, many of which were technically within the Holy Roman Empire. After examining the documents in disputed cases, the chambers of reunion "reunited" many strategic bits of land to territories controlled by France. In this way the former free city of Strasbourg, the chief town of Alsace, passed under French control.

Continued French nibbling at western Germany and Louis's assertion of a dynastic claim to most of the lands of the German elector Palatine set off the third of his wars, the War of the League of Augsburg, 1688–1697. This league against Louis was put together by his old foe, William of Orange, who after 1688 shared the throne of England with his wife Mary, daughter of James II. Thereafter England was thoroughly against Louis. The League also included Spain, the Holy Roman Empire, and Savoy, which was threatened by Louis's tactics of "reunion." The English won a great naval victory at Cape La Hogue in 1692, but William was repeatedly defeated on land in the Low Countries, although never decisively crushed. In Ireland, French (and thus Catholic) attempts to restore the deposed English king, James II, were foiled at the battle of the Boyne in 1690. France and England also exchanged blows in India, the West Indies, and North America, where the colonists called the conflict King William's War. The Treaty of Ryswick ended the war in a peace without victory, although Louis did have to give up part of his territorial gains.

In 1701 Louis XIV took a step that led to his last and greatest conflict, the War of the Spanish Succession (1701–1714). Charles II, the Habsburg king of Spain and Louis's brother-in-law, had died in 1700 without a direct heir. For years diplomats had been striving to arrange a succession that would avoid putting on the throne either a French Bourbon or an Austrian Habsburg. Although they had agreed on a Bavarian prince, he had died in 1699, and plans were made to partition the Spanish inheritance between Habsburgs and Bourbons. Charles II left his lands intact to Philip of Anjou, grandson of Louis XIV. Louis accepted on behalf of Philip, even though he had signed the

treaty of partition. This threat to the balance of power was neatly summarized in the remark a gloating Frenchman is supposed to have made, "There are no longer any Pyrenees." England, Holland, Savoy, the Holy Roman Empire, and many German states formed the Grand Alliance to preserve a separate Spain.

In the bloody war that followed, the French were gradually worn down. In North America they lost Nova Scotia to the English, and in Europe they were beaten by the allies in four major battles, beginning with Blenheim in 1704 and concluding with Malplaquet in 1709. The allied armies were commanded by two great generals, the French-born Prince Eugene of Savoy (1663–1736) and the English John Churchill (1650–1722), first duke of Marlborough. But the French were not annihilated, and Malplaquet cost the allies twenty thousand casualties, at least as many as the French suffered. By scraping the bottom of the barrel for men and money, the French still managed to keep armies in the field.

Moreover, the Grand Alliance was weakening. The English, following their policy of keeping any single Continental power from attaining too strong a position, were almost as anxious to prevent the union of Austria and Spain under a Habsburg as to prevent the union of France and Spain under a Bourbon. At home they faced a possible disputed succession to the throne, and the mercantile classes were sick of a war that was injuring trade and seemed unlikely to bring any compensating gains. In 1710 the pro-peace party won a parliamentary majority and began negotiations that culminated in a series of treaties at Utrecht in 1713.

Utrecht was a typical balance-of-power peace, which contained France without humiliating it. France lost Newfoundland, Nova Scotia, and the Hudson Bay territories to England, while preserving Quebec, Louisiana, and its Caribbean islands. In a sense Louis gained what he had gone to war over, for Philip of Anjou was formally recognized as King Philip V of Spain and secured the Spanish lands overseas. However, the French and Spanish crowns were never to be held by the same person, so the allies, too, had won their point. Furthermore, England took from Spain the Mediterranean island of Minorca and the great Rock of Gibraltar guarding the Atlantic entrance to the Mediterranean. The English also gained the *asiento*, the right to supply slaves to the Spanish colonies—a right that also gave them opportunities for smuggling. The Austrian Habsburgs were compensated with Belgium and the former Spanish possessions of Milan and Naples. In Belgium—now the Austrian Netherlands—the Dutch were granted the right to garrison certain fortified towns, "barrier fortresses," for better defense against possible French aggression. For faithfulness to the Grand Alliance, the duke of Savoy was eventually rewarded with Sardinia and the title of king. The elector of Brandenburg was also rewarded with a royal title, king *in* (not *of*) Prussia, which lay outside the Holy Roman Empire.

Yet the rivalry between France and England for empire overseas was undiminished. After Utrecht, in India, as in North America, each nation would continue to try to oust the other from land and trade. In Europe the Dutch did

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French Aggression

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*Quoted in G. R. R. Treasure, S Murray, 1987, p. 441.

not feel secure against the French, and the Austrian Habsburg emperor, Charles VI (1711–1740), never gave up hope of becoming “Charles III” of Spain. The distribution of Italian lands satisfied no one, Italian or outsider, and the next two decades were filled with acrimonious negotiations over Italy. In short, the peace was fatally flawed.

French Aggression

Proponents of the view that Europe underwent a severe crisis during the seventeenth century can find much evidence in the horrors resulting from Louis XIV's aggressions. The total cost of his wars in human lives and economic resources was very great, especially in the deliberate French devastation of the German Palatinate during the War of the League of Augsburg. The battle of Malplaquet, which left forty thousand men wounded, dying, or dead in an area of ten square miles, was not surpassed in bloodshed until Napoleon's Russian campaign a century later. There was also much suffering behind the lines, notably in the great famine that struck France in 1693–1694. And the year of Malplaquet, 1709, was one of the grimmest in modern French history, as bitter cold, crop failures, famine, skyrocketing prices, and relentless government efforts to stave off bankruptcy by collecting more taxes caused almost universal misery. The Parisians complained bitterly in a mock pater-noster: “Our Father which art at Versailles, thy name is hallowed no more, thy kingdom is great no more, thy will is no longer done on earth or on the waters. Give us this day thy bread which on all sides we lack.”*

Louis set himself up as a champion of Catholicism, especially after the revocation of the Edict of Nantes in 1685, and William of Orange was hailed as a Protestant champion. Yet Louis, unlike his predecessor in aggression, Philip II of Spain, had no real hope of stamping out Protestantism among the Dutch. William's victory at the Boyne brought new hardship to Irish Catholics, and in England and New England the French were hated because they were Catholics. In the end, however, the Grand Alliance against Louis was a complex mixture of Catholic and Protestant in which religion played a comparatively minor role. Louis XIV had achieved no permanent stability for Europe or France, and his authority would die with him; his funeral procession was mocked as it passed through the streets of Paris, although he remained a figure of veneration to the rural masses who made up the majority of France.

Stuart England

To the extent that English government utilized the new methods of professional administration developed in the fifteenth and sixteenth centuries, it was potentially as absolute as any divine-right monarchy. But the slow growth of representative government checked this potential, generating a set of rules not to be altered easily by the ordinary processes of government.

*Quoted in G. R. R. Treasure, *Seventeenth Century France*, 2nd ed. (London: John Murray, 1981), p. 441.

These rules might be written down, but they might also be unwritten, being a consensus about certain traditions. These rules came to be regarded as limiting the authority not only of the king but even of a government elected by a majority of the people—a guarantee to individuals that they had “civil rights” and might carry out certain acts even though those in authority disapproved. Without such rules and habits of constitutionalism, and without the powerful and widespread human determination to back them up, the machinery of English parliamentary government could have been as ruthlessly absolute as any other government.

French kings and ministers could govern without the Estates General. In England, however, King Charles I, who had governed for eleven years without calling Parliament, felt obliged in 1640 to summon it and, although he dismissed it at once when it refused to do his bidding, he had to call another in the same year. This was the Long Parliament, which sat—with changes of personnel and with interruptions—for twenty years and which made the revolution that ended the threat of absolute divine-right monarchy in England.

Charles was ultimately obliged to call Parliament for two basic reasons that go back to medieval history. First, in the English Parliament the House of Commons represented two different social groups not brought together in one house elsewhere: the aristocratic knights of the shire and the burgesses of the towns and cities. The strength of the Commons lay in the practical working together of both groups, which intermarried quite freely and, despite economic and social tensions, tended to form a single ruling class, with membership open to talent and energy from the lower classes. Second, local government continued to be run by magistrates who were not directly dependent on the Crown. True, England had its bureaucrats, its clerks and officials in the royal pay, but where in France and in other Continental countries the new bureaucracy tended to take over almost all governmental business, especially financial and judicial affairs, in England the gentry and the higher nobility continued to do important local work. The Elizabethan Poor Law of 1601 put the care of the needy not under any national ministry but squarely on the smallest local units, the parishes, where decisions lay ultimately with the amateur, unpaid justices of the peace, recruited from the local gentry. In short, the privileged classes were not thrust aside by paid agents of the central government; nor did they, as in Prussia, become agents of the Crown. Instead, they preserved secure bases in local government and in the House of Commons. When Charles I tried to govern without the consent of these privileged classes, when he tried to raise money from them and their dependents to run a bureaucratic government, they had a solid institutional and traditional basis from which to resist his unusual demands.

Because Elizabeth I was childless, she was succeeded by the son of her old rival and cousin, Mary Queen of Scots, in 1603. James Stuart, already king of Scotland as James VI, became James I of England (1603–1625), thus bringing the two countries, still legally separate, under the same personal rule. James was a well-educated pedant, sure of himself, and above all certain that he ruled by divine right. As a Scottish foreigner, he was an object of distrust to

his English subjects. He totally lacked the Tudor heartiness and tact, the gift of winning people to him. His son Charles I (1625–1649), under whom the divine-right experiment came to an end, had many more of the social graces of a monarch than his father, but he was still no man to continue the work of the Tudors. Although he was quite as sure as his father had been that God had called him to rule England, he could neither make the compromises the Tudors made nor revive their broad popular appeal. Thus an accident of personality was also important in shaping the outcome of divine-right theories in England.

The business of state was also gradually growing in scope and therefore in cost. The money required by the Stuarts—and indeed by the Bourbons, Habsburgs, and all monarchs—did not go only for high living by royalty and to support hangers-on; it also went to run a government that was beginning to assume many new functions. Foreign relations, for example, were beginning to take on modern forms, with a central foreign office, ambassadors, clerks, travel allowances, and the like, all requiring more money and personnel. James I and Charles I failed to get the money they needed because those from whom they sought it, the ruling classes, had succeeded in placing the raising and spending of it in their own hands through parliamentary supremacy. The Parliament that won that supremacy was a kind of committee of the ruling classes; it was not a democratic legislature, since only a small fraction of the population could vote for members of the Commons.

In this struggle between Crown and Parliament, religion helped weld both sides into cohesive fighting groups. The struggle for power was in part a struggle to impose a uniform worship on England. The royalist cause was identified with High Church Anglicanism, that is, with bishops and a liturgy and theology that made it a sacramental religion relatively free from left-wing Protestant austerities. The parliamentary cause, at first supported by many moderate Low Church Anglicans, also attracted strong Puritan or Calvinist elements; later it came under the control of Presbyterians and then of extreme Puritans, the Independents of Congregationalists. The term *Puritanism* in seventeenth-century England is confusing because it covered a wide range of religious groups, from moderate evangelical Anglicans all the way to radical splinter sects. But the core of Puritanism went back to Zwingli and Calvin, to the repudiation of Catholic sacramental religion and the rejection of most music and the adornment of churches; it emphasized sermons, simplicity in church and out, and “purifying” the tie between the worshiper and God. To understand the context it is necessary to go back to the first Stuart reign.

James I, 1603–1625

In the troubled reign of James I there were three major points of contention—money, foreign policy, and religion. In all three issues the Crown and its opposition each tried to direct constitutional development in its own favor. In raising money James sought to make the most of revenues that did not require a parliamentary grant; Parliament sought to make the most of its own control

over the purse strings by insisting on the principle that it had to approve any new revenues. When James levied an import duty without a parliamentary grant, an importer of dried currants refused to pay; the case was decided in favor of the Crown by the Court of Exchequer, and the decision attracted much attention because the judges held the king's powers in general to be absolute. Then a royal appeal for a general "benevolence"—a euphemism for a contribution exacted from an individual—was resisted with the support of the chief justice, Sir Edward Coke (1552–1634). James summarily dismissed Coke from office for asserting the independence of the judiciary and thereby drew attention once again to his broad use of the royal prerogative.

The Tudors had regarded foreign affairs as entirely a matter for the Crown. The delicate problem of a marriage for Elizabeth I, for instance, had concerned her parliaments and the public; but Parliament made no attempt to dictate a marriage, and Elizabeth was careful not to offend her subjects in her own tentative negotiations. On the other hand, when James I openly sought a princess of hated Spain as a wife for his son Charles, the Commons in 1621 petitioned publicly against the Spanish marriage. When James rebuked them for meddling, they drew up the Great Protestation, the first of the major documents of the English Revolution, in which they used what they claimed were the historic privileges of Parliament to assert what was in fact a new claim for parliamentary control of foreign affairs. James responded by dissolving Parliament and imprisoning four of its leaders. The Spanish marriage fell through, but the betrothal of Charles in 1624 to the French princess Henrietta Maria, sister of Louis XIII, who was also Catholic, was hardly more popular with the English people.

Although refusing to permit public services by Catholics and Puritans, Elizabeth had allowed much variety of practice within the Anglican church. James summed up his policy in the phrase "no bishop, no king"—by which he meant that the enforcement of the bishops' authority in religion was essential to the maintenance of royal power. James at once took steps against what he held to be Puritan nonconformity. He called a conference of Anglican bishops and leading Puritans at Hampton Court in 1604, at which he presided in person and used the full force of his scholarship against the Puritans. After the conference dissolved with no real meeting of minds, royal policy continued to favor the High Church, anti-Puritan party.

Despite James's failure to achieve anything like religious agreement among his subjects, his reign is a landmark in the history of Christianity among English-speaking peoples, for in 1611, after seven years' labor, a committee of forty-seven ministers authorized by him completed the English translation of the Bible that is still one of the most widely used. The King James version was a masterpiece of Elizabethan prose, perhaps the most remarkable literary achievement a committee has ever made.

Charles I, 1625–1642

Under his son, Charles I, all James's difficulties came to a head very quickly. England was involved in a minor war against Spain, and although the mem-

bers of Parliament hated Spain, they were most reluctant to grant Charles funds to support the English forces. Meanwhile, despite his French queen, Charles became involved in a war against France, which he financed in part by a forced loan from his wealthier subjects and by quartering troops in private houses at the householders' expense. His financial position was tenuous; as a French observer remarked, "They wish for war against heaven and earth, but lack the means to make it against anyone." The military preparations were the greatest since 1588, when there had been a visible enemy; in 1626–1628 Charles's subjects were less certain of the need for extraordinary measures. Consequently, in 1628 Parliament passed the Petition of Right—"the Stuart Magna Carta"—which for the first time explicitly stated some of the most basic rules of modern constitutional government: no taxation without the consent of Parliament; no billeting of soldiers in private houses; no martial law in time of peace; no imprisonment except on a specific charge and subject to the protection of regular legal procedures. All of these were limitations on the Crown.

Charles consented to the Petition of Right to secure new grants of money from Parliament. But he also collected duties not sanctioned by Parliament, which thereupon protested not only against his unauthorized taxes but also against his High Church policy. The king now switched from conciliation to firmness. In 1629 he had the mover of the resolutions, arrested, together with eight other members. He then dissolved Parliament, in part for refusing to vote supplies to the king, in part because he felt Parliament was meddling in matters of religion beyond its authority, and in part because those arrested sought to appeal over the king's head to the country.

For the next eleven years, 1629–1640, Charles governed without a Parliament. He squeezed every penny he could get out of royal revenues that did not require parliamentary authorization, never quite breaking with precedent by imposing a wholly new tax but stretching precedent beyond what his opponents thought reasonable. For example, ship money had been levied by the Crown before, but only on coastal towns for naval expenditures in wartime; Charles now imposed ship money on inland areas and in peacetime. John Hampden (1594–1643), a rich member of Parliament from inland Buckinghamshire, refused to pay it. He lost his case in court (1637) but gained wide public support for challenging the king's fiscal expedients.

In religious matters Charles was guided by a very High Church archbishop of Canterbury, William Laud (1573–1645), who systematically enforced Anglican conformity and deprived even moderate Puritan clergymen of their pulpits. Puritans were sometimes brought before the Star Chamber, an administrative court that denied the accused the safeguards of the common law. In civil matters Charles relied on an opportunist conservative, Thomas Wentworth, first earl of Strafford (1593–1641), who had deserted the parliamentary side and went on to become lord lieutenant of Ireland.

England was seething with repressed political and religious passions underneath the outward calm of these years of personal rule. Yet to judge from the imperfect statistics available, the relative weight of the taxation that offended so many Englishmen was less than on the Continent (and far less

than taxation in any modern Western state). The members of Parliament who resisted the Crown by taking arms against it were not downtrodden, poverty-stricken people revolting out of despair, but self-assertive people defending their concept of civil rights and their own forms of worship, as well as seeking power and wealth for themselves.

Why, then, was there a revolution? Historians are not agreed, especially about the economic motivations of the English revolutionaries. There is evidence that the more capitalistic gentleman farmers—rural bourgeoisie—supported the Puritans; but other scholars argue that the elements from the gentry who supported the Puritans were those who saw themselves sinking on the economic scale, because of inflation, because of the enclosure of once common lands for sheep farming, and because of competition by the new secular owners of the old monastic lands. This debate about the nature and role of the gentry illustrates two problems faced by the historian: first, that of definitions, since the debate turns in part on how social classes are defined, or defined themselves in the past; second, that of interpretation, since two historians examining the same evidence, or different evidence that overlaps at certain points, may arrive at quite different conclusions about the meaning of that evidence. Was the English Revolution caused by despair—a declining gentry seeking to turn the clock back, so that the revolution was actually conservative in its goals—or was it caused by the perception of the need to modernize, to change the institutions of government to more rational, efficient purposes—that is, the final stage of the long movement away from feudalism? Was “the gentry” even central to the mid-century crisis?

The English Revolution did not, in fact, greatly alter the face of England. The laboring poor played almost no role in the Revolution. Nor did women of any class, except behind the scenes, unlike in the French Revolution over a century later. Nonetheless, a precedent of great significance was established, for a king was brought to trial and executed and his office abolished; an established church was disestablished and its property taken; less emphasis was placed on deference. All this would later be undone, the monarchy and the established church restored. Yet in the process, many would perceive that human beings could alter their world if they chose, and many would see the importance of the political process. And they would see that the Crown was neither rational nor truly responsible in various aspects of finance; in government credit, in the use of improper taxes for purposes considered immoral, and in placing the government’s financial interest before its social responsibilities. Thus religion, economics, and politics would prove inseparable, a linked chain of causation.

Charles I could perhaps have weathered his financial difficulties if he had not had to contend with the Scots. Laud’s attempt to enforce the English High Church ritual and organization came up against the three-generations-old Scottish Presbyterian *kirk* (church). In 1638 a Solemn League and Covenant bound the members of the *kirk* to resist Charles by force if need be. Charles marched north against the Scots and worked out a compromise with them in 1639. But even this mild campaign was too much for the treasury, and in 1640

Charles had to call Parliament back into session. This Short Parliament denied him any money unless the piled-up grievances against Charles and his father were settled; it was dissolved almost at once. Then the Scots went to war again, and Charles, defeated in a skirmish, bought them off by promising the Scottish army £850 a day until peace was made. Since he could not raise the money, he had to call another Parliament, which became the Long Parliament of the revolution.

Since the Scottish army would not disband until it was paid off, the Long Parliament held it as a club over Charles's head and put through a series of reforms striking at the heart of the royal power. It abolished ship money and other disputed taxes and disbanded the unpopular royal administrative courts, such as the Star Chamber, which had become symbols of Stuart absolutism. Up to now Parliament had been called and dismissed at the pleasure of the Crown; the Triennial Act of 1640 required that Parliament be summoned every three years, even if the Crown did not wish to do so. Parliament also attacked the royal favorites, whom Charles reluctantly abandoned; Archbishop Laud was removed, and Strafford was declared guilty of treason and executed in May 1641.

Meanwhile, Strafford's harsh policy toward the Irish had led to a rebellion that amounted to an abortive war for national independence by Irish Catholics and caused the massacre of thirty thousand Protestants in the northern Irish region of Ulster. Parliament, unwilling to trust Charles with an army to put down this rebellion, drew up in 1641 a Grand Remonstrance summarizing all its complaints. Charles now made a final attempt to repeat the tactics that had worked in 1629. Early in 1642 he ordered the arrest of five of his leading opponents in the House of Commons, including Hampden of the ship money case. The five took refuge in the privileged political sanctuary of the City of London, where the king could not reach them. Charles left for the north and in the summer of 1642 rallied an army at Nottingham. Parliament simply took over the central government, and the Civil War had begun.

During these years of political jockeying, signs were already evident that strong groups in England and in Parliament wanted something more than a return to the Tudor balance between Crown and Parliament, between religious conservatives and religious radicals. In politics the Nineteen Propositions that Parliament submitted to the king in June 1642 would have established parliamentary supremacy over the army, the royal administration, the church, and even the rearing of the royal children. Charles turned down the propositions, and they became the parliamentary positions in the war that followed.

The Civil War, 1642–1649

England was split along lines that were partly ethnic and territorial, partly social and economic, and partly religious. Royalist strength lay largely in the north and west, relatively less urban and less prosperous than other parts, and largely controlled by gentry who were loyal to throne and altar. Parlia-



Sir Anthony Van Dyck (1599–1641) painted King Charles I hunting. Probably completed in 1638 and now in the Louvre, this portrait shows the king informally dressed, having dismounted from his horse. The arrogant pose, with hand on hip and cane, was used from medieval times to represent nobility. Contrast the dress and compare the pose with Rigaud's portrait of Louis XIV (p. 10). (Erich Lessing/Art Resource, NY)

mentary strength lay largely in the south and east, especially in London and in East Anglia, where Puritanism commanded wide support. The Scots were a danger to either side, distrustful of an English Parliament but equally distrustful of a king who had sought to put bishops over their kirk.

In the field, the struggle was at first indecisive. The royalists, or Cavaliers, recruited from a class used to riding, had the initial advantage of superior cavalry. What swung the balance to the side of Parliament was the development of a special force recruited from ardent Puritans in the eastern counties and gradually forged under strict discipline into the Ironsides. Their leader

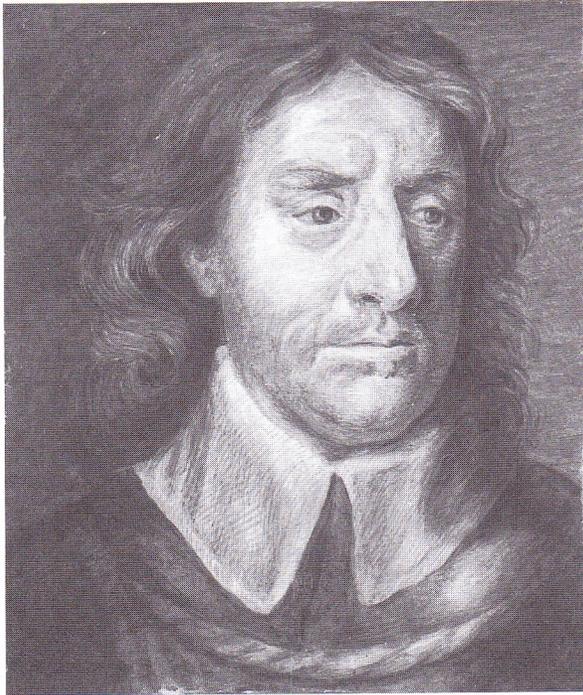
was a Puritan, Oliver Cromwell (1599–1658), who won a crucial battle at Marston Moor in 1644. The parliamentary army, reorganized into the New Model Army and staffed by radicals in religion and politics, stood as Roundheads (from their short-cropped hair) against the Cavaliers. At the battle of Naseby in 1645, the New Model Army was completely victorious, and Charles in desperation took refuge with the Scottish army, who turned him over to the English Parliament in return for their £400,000 back pay.

A situation now arose that was to be repeated, with variations based on time and place, in the French Revolution in 1792 and the Russian Revolution in 1917. The moderates who had begun the revolution and who controlled the Long Parliament were confronted by a much more radical group who controlled the New Model Army. In religion the moderates, seeking to retain some ecclesiastical discipline and formality, were Presbyterians or Low Church Anglicans; in politics they were constitutional monarchists. The radicals, who were opposed to churches disciplined from a central organization, were Independents or Congregationalists, and they already so distrusted Charles that they were thinking about a republican England. The situation was further complicated by the Presbyterian Scots, who regarded the Roundheads as religious anarchists.

The years after 1645 were filled with difficult negotiations, during which Charles stalled for time to gain Scottish help. In 1648 Cromwell beat the invading Scots at Preston, and his army seized the king. Parliament, with the moderates still in control, now refused to do what the army wanted—to dethrone Charles. The Roundhead leaders then ordered Colonel Thomas Pride (d. 1658) to exclude by force from the Commons ninety-six Presbyterian members. This the colonel did in December 1648, with no pretense of legality. After “Pride’s Purge” only some sixty radicals remained of the more than five hundred original members of the Long Parliament; this remnant was known thereafter as the Rump Parliament. The Rump brought Charles to trial before a special high court of radicals, fifty-nine of whom condemned him to death. On January 30, 1649, Charles I was beheaded. To the end he insisted that a king could not be tried by any superior jurisdiction on earth, that his cause was the cause of the people of England, and that if he could be silenced, so might all others. The monarchs of Europe now had a martyr, and Parliament was, in the eyes of many in England, stained by a clearly illegal act.

Cromwell and the Interregnum, 1649–1660

The next eleven years are known as the Interregnum, the interval between two monarchical reigns. England was now a republic under a government known as the Commonwealth. Since the radicals did not dare to call a free election, which would almost certainly have gone against them, the Rump Parliament continued to sit. Thus, from the start, the Commonwealth was a dictatorship of a radical minority come to power through the tight organization of the New Model Army. From the start, too, Cromwell dominated the new government. In religion an earnest and sincere Independent, a patriotic Englishman,



Oliver Cromwell is invariably depicted as stern and dedicated, staring into the future. This painting by Rober Hutchinson, after one by Samuel Cooper (1609–1672), who specialized in miniature portraits of figures from the Commonwealth and Restoration, emphasizes Cromwell's sense of force by focusing solely on the head, devoid of background or distracting detail. (National Portrait Gallery, London)

strong-minded, stubborn, if now power-mad, still by no means unwilling to compromise, Cromwell was nevertheless a prisoner of his position.

Cromwell faced a divided England, where the majority was royalist at heart and certainly sick of the fighting, the confiscations, the endless confusing changes of the last decade. He faced a hostile Scotland and an even more hostile Ireland, where the disorders in England had encouraged the Catholic Irish to rebel once more in 1649. In 1650 Charles II, eldest son of the martyred Charles I, landed in Scotland, accepted the Covenant (thereby guaranteeing the Presbyterian faith as the established Scottish kirk), and led a Scottish army against the English. Once more the English army proved unbeatable, and young Charles took refuge on the Continent after an escape in disguise. Cromwell then faced a war with Holland (1652–1654) brought on by the Navigation Act of 1651, which forbade the importation of goods into England and the colonies except in English ships or in ships of the country producing the imported goods, thus striking at the Dutch carrying trade.

In time Cromwell mastered nearly all his foes. He himself went to Ireland and suppressed the rebellion with extreme bloodshed. In the so-called Cromwellian Settlement of 1652–1654, he dispossessed rebel Irish landholders in favor of Protestants, achieving order in Ireland but not peace. He brought the naval war with the Dutch to a victorious close in 1654. Later Cromwell also waged an aggressive war against the Spanish (1656–1658), from whom the English acquired the rich Caribbean sugar island of Jamaica. Even in time of troubles, the British Empire kept growing.

Cromwell, however, could not master the Rump Parliament, which brushed aside his suggestions for an increase in its membership and a reform of its procedures. In April 1653 he forced its dissolution by appearing in Parliament with a body of soldiers. In December he took the decisive step of inaugurating the regime called the Protectorate, with himself as lord protector of England, Scotland, and Ireland, and with a written constitution, the only one Britain has ever had: the Instrument of Government. It provided for a Parliament with a single house of 460 members, who were chosen solely by Puritan sympathizers since no royalist dared vote. Even so, the lord protector had constant troubles with his parliaments, and in 1657 he yielded to pressure and modified the Instrument to provide for a second parliamentary house and to put limits on the lord protector's power. Meanwhile, to maintain order, Cromwell had divided the country into twelve military districts, each commanded by a major general.

Oliver Cromwell died in 1658 and was succeeded as lord protector by his son Richard. The army soon seized control, and some of its leaders regarded the restoration of the Stuarts as the best way to end the chronic political turbulence. To ensure the legality of the move, General George Monck (1608–1670), commander of the Protectorate's forces in Scotland, summoned back the Rump and readmitted the surviving members excluded by Pride's Purge. This partially reconstituted Long Parliament enacted the formalities of restoration, and in 1660 Charles Stuart accepted an invitation to return from exile in the Netherlands and reign as Charles II.

The Revolution in Review

At the height of their rule in the early 1650s some Puritans had attempted to enforce on the whole population the austere life of the Puritan ideal. This enforcement took the form of "blue laws": prohibitions on horse racing, gambling, cock fighting, bear baiting, dancing on the greens, fancy dress, the theater, and a host of ordinary pleasures of daily living. Yet this attempt to legislate morality, coming too early for modern techniques of propaganda and regimentation, was not entirely effective. Many an Anglican clergyman, although officially "plundered"—that is, deprived of his living—continued worship in private houses, and many a cock fight went on in secluded spots. Nevertheless, the strict code was there, with earnest persons to try to enforce it and with implacable enemies to oppose it.

The events in Britain of 1640–1660 are of major importance in the history of the West. For the first time a monarch was challenged in a major revolt by politically active private citizens. Although the Stuarts were ultimately

A Closer Look

OLIVER CROMWELL

Even today the character of Oliver Cromwell is the subject of much debate. Judgments on the English Civil War are shaped in some measure by opinions about Cromwell's motives, actions, and policies. His supporters and detractors are no less firmly committed today than in Cromwell's time, especially in Britain, where the role of the monarchy continues to be debated even now. Some commentators feel that Cromwell, as Lord Protector, simply replaced the king; others argue that he fundamentally transformed England, despite the eventual restoration of the monarchy. One of the most interesting commentaries is by Cromwell's contemporary, the poet (and official in Cromwell's government) John Milton. In 1654 Milton wrote, in his *Second Defense of the People of England*, one of the most far-reaching defenses of Cromwell, entitled "To You Our Country Owes Its Liberties":

The whole surface of the British empire has been the scene of [Cromwell's] exploits, and the theatre of his triumphs. . . . He collected an army as numerous and as well equipped as any one ever did in so short a time; which was uniformly obedient to his orders, and dear to the affections of the citizens; which was formidable to the enemy in the field, but never cruel to those who laid down their arms; which committed no lawless ravages on the persons or the property of the inhabitants; who, when they compared their conduct with the turbulence, the intemperance, the impiety and the debauchery of the royalists, were wont to salute them as friends and to consider them as guests. They were a stay to the good, a terror to the evil, and the warmest advocates for every exertion of piety and virtue.

But when you saw that the business [of governing the realm] was artfully procrastinated, that every one was more intent on his own selfish interest than on the public good, that the people complained of the disappointments which they had experienced, and the fallacious promises by which they had been gulled, that they were the dupes of a few overbearing individuals, you put an end to their domination.

In this state of desolation which we were reduced to, you, O Cromwell! alone remained to conduct the government and to save the country. We all willingly yield the palm of sovereignty to your unrivalled ability and virtue, except the few among us who, either ambitious of honors which they have not the capacity to sustain, or who envy those which are conferred on one more worthy than themselves, or else who do not know that nothing in the world is more pleasing to God, more agreeable to reason, more politically just, or more generally useful, than that the supreme power should be vested in the best and the wisest of men. Such, O Cromwell, all acknowledge you to be. . . .

But if you, who have hitherto been the patron and tutelary genius of liberty, if you, who are exceeded by no one in justice, in piety and goodness, should hereafter invade that liberty which you have defended, your conduct must be fatally operative, not only against the cause of liberty, but the general interests of piety and virtue. Your integrity and virtue will appear to have evaporated, your faith in religion to have been small; your character with posterity will dwindle into insignificance, by which a most destructive blow will be leveled against the happiness of mankind.*

*As reprinted in Perry M. Rogers, ed., *Aspects of Western Civilization: Problems and Sources in History*, 2nd ed. (Englewood Cliffs, N.J.: Prentice Hall, 1992), II, pp. 32–33.

restored, no English king could ever hope to rule again without a Parliament, or revive the court of Star Chamber, or take ship money, benevolences, and other controversial taxes. Parliament thereafter retained that critical weapon of the legislative body in a limited monarchy, control of the public purse by periodic grants of taxes.

Another basic freedom owes much to this English experience. Freedom of speech was a fundamental tenet of the Puritans, even though at the height of their power they did not observe it themselves. It received its classic expression in 1644 by the poet John Milton (1608–1674), in his *Areopagitica*. While Milton defended free speech principally for an intellectual and moral elite, one of his arguments was characteristically pragmatic and English, namely, that attempts to curb free expression just would not work.

The voluminous pamphlet literature of the early years of the great turmoil was a lively manifestation of free speech in action. The extraordinary rise of radical minorities foreshadowed modern political and social thought. One such group, the Levelers, found many sympathizers in the revolutionary army and advanced a program later carried by emigrants to the American colonies. They called for political democracy, universal suffrage, regularly summoned parliaments, progressive taxation, separation of church and state, and the protection of the individual against arbitrary arrest. There were even hints of economic equality, a goal then closely tied to biblical ideas. The Diggers, for example, were a small sect that preached the sharing of earthly goods in a kind of communism. They advocated plowing up common and waste land throughout England, regardless of ownership, in the interests of social reform. The Ranters attacked “respectable” beliefs, arguing that sin hardly existed, that a reformation in behavior would free the oppressed from the nobility and gentry. Fifth Monarchy advocates, Millenarians, and a dozen other radical sects preached the Second Coming of Christ and the achievement of a utopia on earth.

Still more important, there emerged from the English Revolution, even more clearly than from the religious wars on the Continent, the concept of religious toleration. The Independents, while they were in opposition, stood firmly for the right of religious groups to worship God as they wished. Although in their brief tenure of power they showed a readiness to persecute, they were never firmly enough in the saddle to make England into a seventeenth-century version of John Calvin’s Geneva. At least one sect, the Quakers, led by George Fox (1624–1691), held to the idea and practice of religious toleration as a positive good. The Quakers denounced all worldly show, finding even buttons ostentatious. They found the names of the days and months indecently pagan, the polite form “you” in the singular a piece of social hypocrisy, and the taking of legal oaths impious. Hence they met for worship on what they called the First Day rather than the day of the sun god; they addressed each other as “thee” or “thou”; and they took so seriously the Protestant doctrine of the priesthood of the believer that they eliminated any formal ministry. In the Religious Society of Friends, as they were properly known, any worshiper who felt the spirit move might testify—give what

other sects would call a sermon. The Friends felt too deeply the impossibility of coercing anyone to see the "inner light" for them to force people to accept their faith. They would abstain entirely from force, particularly from war, and would go their own way in Christian peace.

Among the Quakers the religious rights of women reached new heights. Any Friend could speak and prophesy; Fox declared that the subjection of women, which had been decreed at the fall of man in the garden of Eden, was ended through the sacrifice made by the Redeemer. Women were priests, and Christ was both male and female. Thus women played a major role in Quakerism and, from 1671, held women's meetings, which gave them a share in church government. The Civil War sects also gave women important, if not equal, roles to play, challenging orthodox arguments for the exclusion of women from church office. The sects focused often on the family and its ethical and moral role; combined with the spread of religious toleration, this led to some weakening of the idea of paternal authority, with spheres being defined in which maternal authority was to govern.

The Restoration, 1660–1688

The Restoration of 1660 left Parliament essentially supreme but attempted to undo some of the work of the Revolution. Anglicanism was restored in England and Ireland, although not as a state church in Scotland. Protestants who would not accept the restored Church of England were termed dissenters. Although they suffered many legal disabilities, dissenters remained numerous, especially among artisans and middle-class merchants. As time went on they grew powerful, so that the nonconformist conscience became a major factor in English public life. Indeed, the three-century progression of names by which these non-Anglican Protestants were called shows their rise in status: the hostile term "dissenter" became "nonconformist" in the nineteenth century, and "free churchman" in the twentieth.

The Restoration was also a revulsion against Puritan ways. The reign of Charles II (r. 1660–1685) was a period of moral looseness, of lively court life, of Restoration drama with its ribald wit, and of the public pursuit of pleasure, at least among the upper classes.

But the new Stuarts were not as adept at public relations as the Tudors had been. Charles II dissipated some of the fund of goodwill with which he started by following a foreign policy that seemed to patriotic Englishmen too subservient to Louis XIV. Yet Charles's alliance with Louis in 1670 did result in the extinction of any Dutch threat to English sea power, and it confirmed an important English acquisition, that of New Amsterdam, now New York, first taken in the Anglo-Dutch War of 1664–1667.

What really undid the later Stuarts and revealed their political ineptitude was the Catholic problem. Charles II had come under Catholic influence through his French mother and probably became a Catholic before he died in 1685. Since he left no legitimate children, the crown passed to his brother, James II (1685–1688), who was already an open Catholic. To enlist the support

The Written Record

BLACKSTONE ON THE LAW

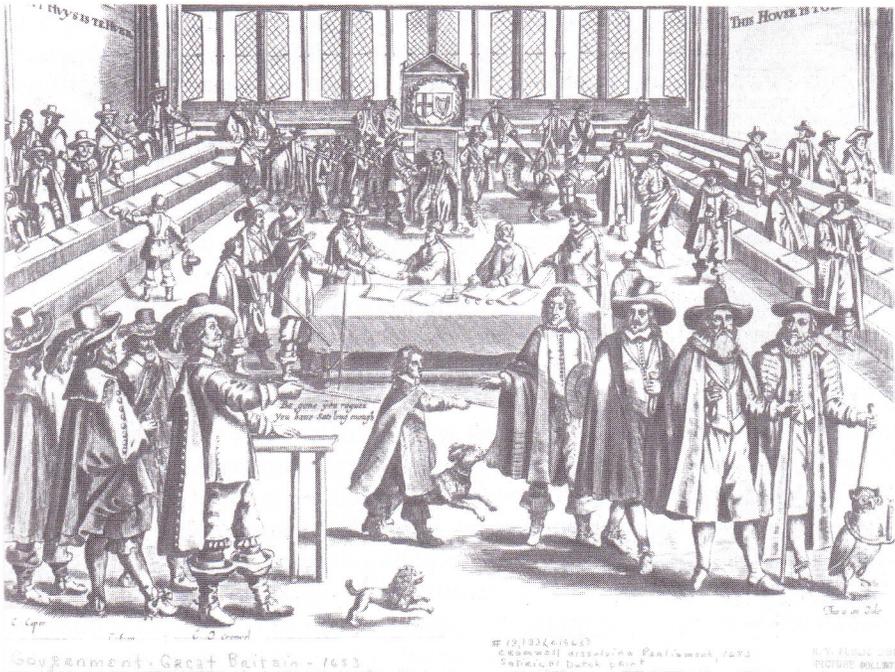
By the eighteenth century the English recognized that a unique constitution had evolved from the period of their Civil War. Basically unwritten, rooted in the common law, this constitution would contribute to a remarkable period of political stability. In 1765 an English jurist, William Blackstone (1723–1780), would prepare a lengthy set of commentaries on the laws of England in which the process dramatically accelerated by the English Revolution was described in terms of the theory of checks and balances:

And herein indeed consists the true excellence of the English government, that all the parts of it form a mutual check upon each other. In the legislature, the people are a check upon the nobility, and the nobility a check upon the people; by the mutual privilege of rejecting what the other has resolved; while the king is a check upon both, which preserves the executive power from encroachments. And this very executive power is again checked and kept within due bounds by the two houses, through the privilege they have of inquiring into, impeaching and punishing the conduct (not indeed of the king which would destroy his constitutional independence; but, which is more beneficial to the public,) of his evil and pernicious counsellors. Thus every branch of our civil polity supports and is supported, regulates and is regulated, by the rest. . . . Like three distinct powers in mechanics, they jointly impel the machine of government in a direction different from what either, acting by itself, would have done . . . a direction which constitutes the true line of the liberty and happiness of the community.

William Blackstone, *Commentaries on the Laws of England*, 15th ed. (London: A. Stralan, 1809), I, 153.

of the dissenters for the toleration of Catholics, James II issued in 1687 a Declaration of Indulgence, granting freedom of worship to all denominations in England and Scotland. While this was, in the abstract, an admirable step toward full religious liberty, to the majority in England Catholicism still seemed a great menace, and it was always possible to stir them to an irrational pitch by an appeal to their fear of “popery” and of Spain and France, Catholic countries. Actually, by the end of the seventeenth century most of the few remaining Catholics in England were glad to accept the status of the dissenters and were no real danger to an overwhelmingly Protestant country. In Ireland, however, the Catholics remained an unappeasable majority, and Ireland posed a genuine threat.

The political situation was much like that under Charles I: the Crown had one goal, Parliament another. Although James II made no attempt to dissolve Parliament or to arrest its members, he went over Parliament’s head by issuing decrees based on what he called the “power of dispensation.” Early in his



By 1651 the House of Commons was depicted on the Great Seal of England as used by the Commonwealth—testimony to the symbolic significance that Cromwell attached to the House. This scene is a Dutch rendition of Cromwell's dissolution of Parliament in 1653. The owl and small lion made to look like a dog are intended as a satirical commentary on the debate and dissolution. (New York Public Library Picture Collection)

reign he had used a minor rebellion by the duke of Monmouth, a bastard son of Charles II, as the excuse for two ominous policies. First, his judges punished suspected rebel sympathizers with a severity that seemed out of all proportion to the extent of the rebellion. Second, he created a standing army of thirty thousand men, part of which he stationed near London in what appeared as an attempt to intimidate the capital. To contemporaries it looked as though James were plotting to force both Catholicism and divine-right monarchy on an unwilling England.

The Glorious Revolution and Its Aftermath, 1688–1714

The result was the Glorious Revolution, a coup d'état engineered at first by a group of James's parliamentary opponents who were called Whigs, in contrast to the Tories who tended to support at least some of the policies of the later Stuarts. The Whigs were the heirs of the moderates of the Long Parliament, and they represented an alliance of the great lords and the prosperous London merchants.

James II married twice. By his first marriage he had two daughters, both Protestant—Mary, who had married William of Orange, the Dutch opponent of Louis XIV, and Anne. Then in 1688 a son was born to James and his Catholic second wife, thus apparently making the passage of the crown to a Catholic heir inevitable. The Whig leaders responded with propaganda, including rumors that the queen had never been pregnant, that a baby had been smuggled into her chamber in a warming pan so that there might be a Catholic heir. Then the Whigs and some Tories negotiated with William of Orange (that is, William III, Stadtholder of Holland and Leeland), who could hardly turn down a proposition that would give him the solid assets of English power in his struggle with Louis XIV. He accepted the invitation to take the English crown, which he was to share with his wife, the couple reigning as William III (r. 1689–1702) and Mary II (r. 1689–1694). On November 5, 1688, William landed at Torbay on the Devon coast with some fourteen thousand soldiers. When James heard the news he tried to rally support in the West Country, but everywhere the great lords and even the normally conservative gentry were on the side of a Protestant succession. James fled from London to France in December 1688, giving William an almost bloodless victory.

Early in 1689 Parliament (technically a convention, since there was no monarch to summon it) formally offered the crown to William. Enactment of a Bill of Rights followed. This document, summing up the constitutional practices that Parliament had been seeking since the Petition of Right in 1628, was, in fact, almost a short written constitution. It laid down the essential principles of parliamentary supremacy: control of the purse, prohibition of the royal power of dispensation, and frequent meetings of Parliament.

Three major steps were necessary after 1689 to convert Britain into a parliamentary democracy with the Crown as the purely symbolic focus of patriotic loyalty. These, were, first, the concentration of executive direction in a committee of the majority party in the Parliament, that is, a cabinet headed by a prime minister, achieved in the eighteenth and early nineteenth centuries; second, the establishment of universal suffrage and payment to members of the Commons, achieved in the nineteenth and twentieth centuries; and third, the abolition of the power of the House of Lords to veto or significantly retard legislation passed by the Commons, achieved in the early twentieth century. Thus democracy was still a long way off in 1689, and William and Mary were real rulers with power over policy.

Childless, they were succeeded by Mary's younger sister Anne (r. 1702–1714), all of whose many children were stillborn or died in childhood. The exiled Catholic Stuarts, however, did better; the little boy born to James II in 1688 and brought up near Paris grew up to be known as the "Old Pretender." Then in 1701 Parliament passed an Act of Settlement that settled the crown—in default of heirs to Anne, then heir presumptive to the sick William III—not on the Catholic pretender, but on the Protestant Sophia of Hanover or her issue. Sophia was a granddaughter of James I and the daughter of Frederick of the Palatinate, the "Winter King" of Bohemia in the Thirty Years' War. On Anne's death in 1714, the crown passed to Sophia's son George, first king of

the house of Hanover. This settlement made it clear that Parliament, and not the divinely ordained succession of the eldest male in direct descent, made the kings of England.

To ensure the Hanoverian succession in both Stuart kingdoms, Scotland as well as England, the formal union of the two was completed in 1707 as the United Kingdom of Great Britain. Scotland gave up its own parliament and sent representatives to the parliament of the United Kingdom at Westminster. Although the union met with some opposition from both English and Scots, on the whole it went through with ease, so great was Protestant fear of a possible return of the Catholic Stuarts.

The Glorious Revolution did not, however, settle the other chronic problem—Ireland. The Catholic Irish rose in support of the exiled James II and were put down at the battle of the Boyne in 1690, a battle still commemorated by Protestant Irish to this day. William then attempted to apply moderation in his dealings with Ireland, but the Protestants there soon forced him to return to the Cromwellian policy. Although Catholic worship was not actually forbidden, many galling restrictions were imposed on the Catholic Irish, including the prohibition of Catholic schools. Moreover, economic persecution was added to religious, as Irish trade came under stringent mercantilist regulation. This was the Ireland whose deep misery inspired the writer Jonathan Swift (1667–1745) in 1729 to make a satirical “modest proposal,” that the impoverished Irish sell their babies as articles of food. Swift’s ferocious suggestion highlights the destitution of the Catholic Irish at this time. It is difficult today to know just how destructive the sectarian wars were: certainly six thousand Unionists and Ulster Protestants were massacred by Catholics in 1641 (although Protestants at the time insisted and most likely believed there were upward of 200,000 victims). Cromwell’s own physician-general estimated that 616,000 died on both sides between 1641 and 1652. By the 1690s the Protestant population had grown from 5 percent to 20 percent of the population, and in time would hold 85 percent of the land. By the eighteenth century Ireland had become a complex and at times paradoxical English colony.

The English experience provided the most dramatic check to absolutism in all the major European states. Although English monarchs continued to exercise considerable political influence, they could not be effective without the support of Parliament. As a result, the English aristocracy was able to recover some of the power it had lost under the Tudors. Indeed, the establishment of Parliamentary supremacy institutionalized aristocratic power until the coming of democracy at the end of the nineteenth century. The result was not a smaller national state, but a larger one. The two wars England fought with France under William and Mary and Queen Anne provided an ideal opportunity for the English aristocracy to expand the trough of patronage. Over the eighteenth century, methods of distributing this welfare for the wealthy would become more regularized through the development of a two-party system. Taxes in England would become heavier per person than they would be in France, and the establishment of the Bank of England, which organized

the borrowing efforts of the state, provided a relatively cheap and efficient method for expanding government expenditures still further. A growing economy and a recently found political stability would enable England to exert influence over European affairs as it never had before.

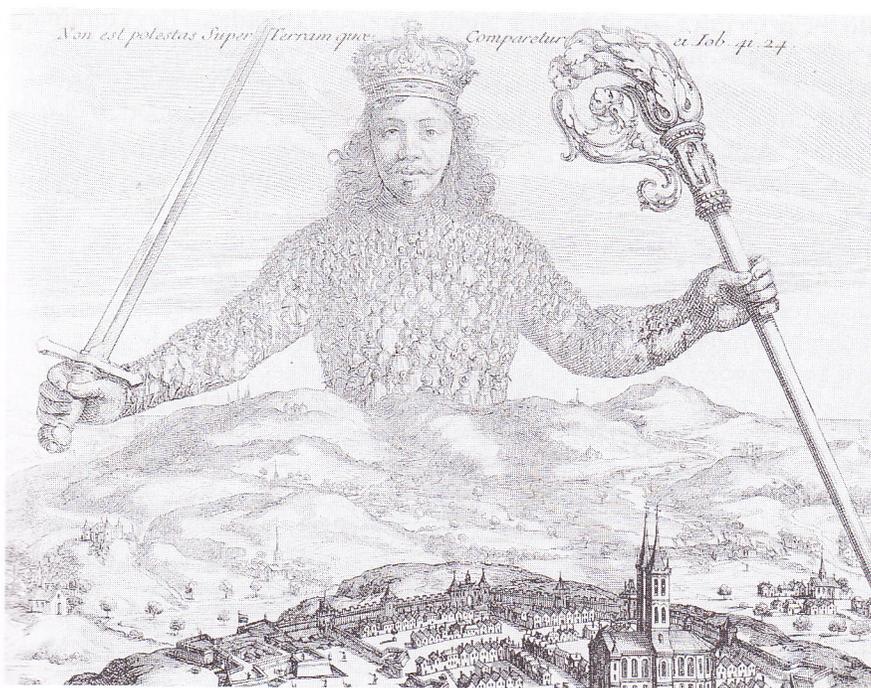
Century of Genius/Century of Everyman

In the seventeenth century the cultural, as well as the political, hegemony of Europe passed from Italy and Spain to Holland, France, and England. Especially in literature, the France of *le grand siècle* set the imprint of its classical style on the West through the writings of Corneille, Racine, Molière, Bossuet, and a host of others. Yet those philosophers and scientists who exerted the greatest influence on modern culture were not exclusively French. Their arguments were expressed in political and economic constructs that justified or attacked the conventional wisdom of the age. In all fields of intellectual endeavor the seventeenth century saw such a remarkable flowering that historians have called it “the century of genius.”

Progress and Pessimism

Scientists and rationalists helped greatly to establish in the minds of the educated throughout the West two complementary concepts that were to serve as the foundations of the Enlightenment of the eighteenth century: first, the concept of a “natural” order underlying the disorder and confusion of the universe as it appears to unreflecting people in their daily life; and, second, the concept of a human faculty, best called reason, which is obscured in most of humanity but can be brought into effective play by good—that is, rational—perception. Both of these concepts can be found in some form in the Western tradition at least as far back as the ancient Greeks. What gave them novelty and force at the end of the seventeenth century was their being welded into the doctrine of progress—the belief that all human beings can attain here on earth a state of happiness, of perfection, hitherto in the West generally thought to be possible only in a state of grace, and then only in a heaven after death.

Not all the great minds of the seventeenth century shared this optimistic belief in progress and in the infallibility of reason. The many-sided legacy of this century of genius is evident, for example, in the contrast between two of the most important political writings issuing from the English Revolution: Thomas Hobbes’s *Leviathan* and John Locke’s *Second Treatise of Government*. Published in 1651 and much influenced by the disorders of the English Civil War, *Leviathan* was steeped in Machiavellian pessimism about the inherent sinfulness of human beings. The state of nature, when people live without government, is a state of war, Hobbes argued, where people prey upon each other and human life is “solitary, poor, nasty, brutish, short.” The only recourse is for people to agree among themselves to submit absolutely to the Leviathan—an all-powerful state that will force peace upon humankind.



This is the illustration from the title page of Hobbes's *Leviathan*. While it shows the ruler in absolute control over the land, his body symbolically consists of all those individuals whose self-interest is served by their consent to accept the collective rule of the state for the general welfare. All look to him, and each loses individuality, but the mass is, nonetheless, composed of individual figures. This title page is considered to be a masterpiece, summarizing a philosopher's view in a single illustration. The Latin quotation from the book of Job translates, "Upon the earth there is not his like." (Beinecke Rare Book and Manuscript Library, Yale University)

Hobbes (1588–1679) turned the contract theory of government upside down by having people consent to give up all their liberties; Locke (1632–1704) put the contract right side up again. Locke was a close associate of the Whig leaders who engineered the Glorious Revolution. In his *Second Treatise of Government*, published in 1690 as a defense of their actions, Locke painted a generally hopeful picture of the state of nature, which suffers only from the "inconvenience" of lacking an impartial judicial authority. To secure such an authority, people contract among themselves to accept a government—not an omnipotent Leviathan—that respects a person's life, liberty, and property; should a king seize property by imposing unauthorized taxes, then his subjects are justified in overthrowing their monarch. Locke's relative optimism and his enthusiasm for constitutional government nourished the major current of political thought in the next century, and his ideas were incorporated

into the principles of some of the North American colonies. They culminated in the American and French revolutions. But events after 1789 brought Hobbesian despair and authoritarianism to the surface once more.

Meantime, exponents of the older Christian tradition continued to flourish on the Continent. One example is Blaise Pascal (1623–1662), a one-man personification of the complexities of the century of genius. He won an important place in the history of mathematics and physics by his work with air pressure and vacuums and, at the practical level, by his invention of the calculating machine and his establishment of the first horse-drawn bus line in Paris. Yet he was also profoundly otherworldly and became a spokesman for the high-minded, puritanical Jansenists, whose doctrines he defended with skill and fervor. He dismissed as unworthy the concepts of God as mere master geometer or engineer and sought instead for the Lord of Abraham and the Old Testament prophets. He advocated acts of charity, especially by those with wealth and status, for God's incomprehensible love had placed on them the obligation to look after the weak and poor. One night in November 1654, he underwent a great mystical experience in which he felt with absolute certainty the presence of God and of Christ. He spent his final years in religious meditation. In his *Pensées, or Thoughts*, published posthumously in 1670, he wrote of the presumed conflict between faith and science, posing that life was a gamble that favored faith: if God exists, believers win everlasting life; if God does not exist, believers were no worse off than nonbelievers.

Another example is Baruch Spinoza (1632–1677), the century's most controversial thinker, who was the son of a Jewish merchant in Amsterdam. Spinoza tried to reconcile the God of Science and the God of Scripture. He constructed a system of ethical axioms as rigorously Cartesian and logical as a series of mathematical propositions. He also tried to reunite the Cartesian opposites—matter with mind, body with soul—by asserting that God was present everywhere and in everything. His pantheism led to his ostracism in Holland by his fellow Jews and also by the Christians, who considered him an atheist; his rejection of rationalism and materialism offended intellectuals. Spinoza found few admirers until the romantic revolt against the abstractions and oversimplifications of the Enlightenment over a century later.

Literature

Just as Henry IV, Richelieu, and Louis XIV brought greater order to French politics after the civil and religious upheavals of the sixteenth century, so the writers of the seventeenth century brought greater discipline to French writing after the Renaissance extravagance of a genius like Rabelais. It was the age of classicism, which insisted on the observance of elaborate rules, on the authority of models from classical antiquity, and on the employment of a more polite, stylized vocabulary. In the early 1600s the example of greater refinement in manners and speech was set by the circle who met in the Paris *salon* (reception room) of an aristocratic hostess, the marquise de Rambouillet (1588–1665). Later, proper behavior was standardized by the court ceremonial at Versailles,